

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM SD**  
**SPECIALIZED DISCLOSURE REPORT**

**EXTERRAN CORPORATION**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or Other Jurisdiction  
of Incorporation or Organization)

**001-36875**

(Commission File Number)

**47-3282259**

(IRS Employer  
Identification No.)

**11000 Equity Drive**  
**Houston, Texas 77041**

(Address of Principal Executive Offices) (Zip Code)

**Andrew Baird (281) 836-7000**

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2021.

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## Section 1 - Conflict Minerals Disclosure

Exterran Corporation (together with its subsidiaries, "Exterran Corporation," the "Company," "our," "we" or "us"), a Delaware corporation formed in March 2015, is a global sustainable systems and process company offering solutions in the oil, gas, water and power markets. We operate in three primary business lines: contract operations, aftermarket services and product sales. As part of our business, we manufacture products for which gold, tantalum, tin and/or tungsten (collectively, "3TG materials") are necessary to the functionality or production of our products. We are required to comply with Rule 13p-1 of the Securities Exchange Act of 1934, as amended, as implemented through SEC Specialized Disclosure Report on Form SD ("Rule 13p-1"). Accordingly, in compliance with Rule 13p-1, we are filing this Specialized Disclosure Report on Form SD and the Conflict Minerals Report filed as Exhibit 1.01 hereto, and have contemporaneously posted this Specialized Disclosure Report on Form SD and the Conflict Minerals Report on our website at [www.exterran.com](http://www.exterran.com).

### Item 1.01 Conflict Minerals Disclosure and Report

A copy of Exterran Corporation's Conflict Minerals Report for the reporting period January 1, 2021 to December 31, 2021 is provided as Exhibit 1.01 hereto and is publicly available at [www.exterran.com](http://www.exterran.com).

### Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 to this Form SD.

## Section 2 - Exhibits

### Item 2.01 Exhibits

Exhibit No.	Description
1.01	<a href="#">Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.</a>

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

### EXTERRAN CORPORATION

May 27, 2022

By: /s/ DAVID A. BARTA

David A. Barta

Senior Vice President and Chief Financial Officer

**Exterran Corporation**  
**Conflict Minerals Report**

**Company and Product Overview**

Exterran Corporation (together with its subsidiaries, “Exterran Corporation,” the “Company,” “our,” “we” or “us”), a Delaware corporation formed in March 2015, is a global sustainable systems and process company offering solutions in the oil, gas, water and power markets. We are a leader in natural gas processing and treatment, produced water treatment and compression products, solutions and services, providing critical midstream infrastructure solutions to customers throughout the world while helping them reduce their flaring, emissions and fresh water usage. We provide our products, solutions, and services to a global customer base consisting of companies engaged in all aspects of the oil and natural gas industry, including large integrated oil and natural gas companies, national oil and natural gas companies, independent oil and natural gas producers and oil and natural gas processors, gatherers and pipeline operators. Our manufacturing facilities are located in the United States of America (“U.S.”), Singapore and the United Arab Emirates. We operate in three primary business lines: contract operations, aftermarket services and product sales. In our contract operations business line, we provide processing, treating, compression and water treatment services through the operation of our natural gas and crude oil production and process equipment and natural gas compression equipment and water treatment equipment for our customers. In our aftermarket services business line, we sell parts and components and provide operations, maintenance, repair, overhaul, upgrade, startup and commissioning and reconfiguration services to customers who own their own oil and natural gas compression, production, processing, treating and related equipment. In our product sales business line, we design, engineer, manufacture, install and sell equipment used in the treating and processing of crude oil, natural gas, natural gas compression packages and water to our customers throughout the world and for use in our contract operations business line. We also offer our customers, on either a contract operations basis or a sale basis, the engineering, design, project management, procurement and construction services necessary to incorporate our products into production, processing and compression facilities, which we refer to as integrated projects.

Based on our suppliers’ responses, Exterran Corporation has determined many of the products we manufacture include gold, tantalum, tin and/or tungsten (collectively, “3TG materials”). These 3TG materials are necessary to the functionality or production of our products. Exterran Corporation is required to comply with Rule 13p-1 of the Securities Exchange Act of 1934, as amended (“Exchange Act”), as implemented through SEC Form SD (“Rule 13p-1”), which requires, among other things, that it file this Conflict Minerals Report (this “Report”). This Report covers the period from January 1, 2021 through December 31, 2021.

**Conflict Minerals Program Overview**

Exterran Corporation has taken a number of steps to implement a conflict minerals compliance program, including:

- Exterran Corporation has adopted a conflict minerals policy, which is publicly available on our website at [www.exterran.com](http://www.exterran.com).
  - Exterran Corporation has assembled an internal team to support supply chain due diligence.
  - Exterran Corporation has worked to communicate expectations with regard to supplier performance, transparency and sourcing.
  - Exterran Corporation is working with its suppliers, and has identified many of the smelters or refiners (“SORs”) in its supply chain and plans to take measures intended to increase the supplier response rate for its reasonable country of origin inquiry (“RCOI”) process.
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- Exterran Corporation has compared its RCOI results with information collected via independent conflict free smelter validation programs such as the Conflict Free Smelter Program sponsored by the Conflict Free Sourcing Initiative (“CFSI”) an initiative of the Electronic Industry Citizenship Coalition and Global e-Sustainability Initiative (“EICC/GeSI”).
- Exterran Corporation reports annually, as required by Rule 13p-1, on its sourcing due diligence efforts. This Report and the Form SD of which it is a part of are publicly available on our website at [www.exterran.com](http://www.exterran.com).

Rule 13p-1 requires that Exterran Corporation conduct, in good faith, a RCOI that is reasonably designed to determine whether any of its 3TG materials originated in the Democratic Republic of the Congo or an adjoining country (each, a “covered country” and together, “DRC”). For 3TG materials that, based on our RCOI, Exterran Corporation knows originated in, or it has reason to believe may have, originated in, a covered country, Rule 13p-1 requires that Exterran Corporation exercise due diligence on the source and chain of custody of such 3TG materials.

Exterran Corporation’s manufactured products were primarily assembled using components obtained from its suppliers. Exterran Corporation is several tiers removed from mining operations and SORs and has no visibility into the upstream supply chain beyond its direct suppliers. Exterran Corporation thus had to request its suppliers’ assistance in collecting information regarding the presence of 3TG materials in the components supplied to Exterran Corporation and, if present, information regarding the sourcing of such 3TG materials.

Information on the presence and sourcing of 3TG materials in the components supplied to Exterran Corporation was collected and stored using an online platform provided by a third party vendor, Source Intelligence. This platform utilized the EICC-GeSI Conflict Minerals Due Diligence Template (the “EICC-GeSI Template”), which includes standard supply chain survey and information tracking methods, to determine whether Exterran Corporation’s manufactured products contained 3TG materials minerals necessary to their functionality or production and to perform its RCOI. In an effort to increase awareness among Exterran Corporation’s suppliers of its conflict minerals compliance program, as well as the applicable Securities and Exchange Commission (“SEC”) regulations and related frequently asked questions (“FAQs”) concerning conflict minerals compliance, Source Intelligence introduced each of Exterran Corporation’s suppliers to the Conflict Minerals Supplier Resource Center maintained by Source Intelligence on its website. Exterran Corporation undertook this step as an elevated tool to facilitate a deeper understanding of its conflict minerals program and why Exterran Corporation is requesting information from them.

### **Conflict Minerals Compliance Program (CMCP) Description**

The U.S. Securities and Exchange Commission (SEC) Final Conflict Minerals Rule <sup>(1)</sup> (SEC Final Rule) requires a three-step compliance process: the first step is determining the applicability of the rule; the second step is conducting a “reasonable country of origin inquiry” (RCOI) to determine whether or not there is reason to believe that tin, tungsten, tantalum or gold (3TG), also referred to as conflict minerals, from the Democratic Republic of Congo or adjoining countries are present in the company’s products; and if so, the third step is conducting due diligence to determine the source and origin of those conflict minerals based on the facilities (smelter or refiners, “SORs”) in which they were processed. Companies requiring due diligence must use a nationally or internationally recognized standard such as the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (OECD Guidance <sup>(2)</sup>) to meet the SEC regulatory requirements. The sections below describe Source Intelligence’s approach to meet each of the three steps in the SEC Final Rule compliance process; these activities were completed in concert with Exterran Corporation’s internal regulatory compliance program activities.

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<sup>(1)</sup> Securities and Exchange Commission, 17 CFR Parts 240 and 249b, <http://www.sec.gov/rules/final/2012/34-67716.pdf>.

<sup>(2)</sup> OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, <http://www.oecd.org/daf/inv/mne/GuidanceEdition2.pdf>.

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## SEC Final Rule Applicability Determination

The first step in SEC Final Rule compliance is examining rule applicability to a given organization's activities. According to the Final Rule, there are four decision criteria: (1) whether the organization files reports with the SEC under Sections 13(a) or 15(d) of the Exchange Act; (2) whether the organization manufactures or contracts to manufacture products; (3) whether conflict minerals are "necessary to the functionality" or "necessary to the production" of such products and (4) whether the necessary conflict minerals were outside the supply chain prior to January 31, 2013.<sup>(3)</sup>

To aid Exterran Corporation in the supplier scoping process, all Tier 1 suppliers were included in the RCOI performed by Source Intelligence. Prior to being asked to provide conflict minerals data, suppliers were asked a series of questions to determine whether the products they supply to Exterran Corporation contain 3TG which is necessary to the functionality or production, whether Exterran Corporation influences the design of such products, and whether the products were supplied to Exterran Corporation during the 2021 reporting year. This information was used to determine which suppliers were in scope for regulatory purposes and thus asked to provide additional conflict minerals information. Lists of suppliers classified as out of scope were provided to Exterran Corporation for review and suppliers re-engaged as needed if requested by Exterran Corporation based on additional information regarding the products they supply and the nature of the relationship with Exterran Corporation.

Exterran Corporation provided to Source Intelligence a list of Tier 1 suppliers determined to be in-scope for regulatory purposes based on Exterran Corporation's influence over the manufacturing process (i.e., meeting the manufacture or contract to manufacture definitions in Rule 13p-1) and potential use of 3TG. These suppliers were engaged following the RCOI process described below.

### Reasonable Country of Origin Inquiry (RCOI)

To complete the RCOI required by the SEC Final Rule, Source Intelligence engaged Exterran Corporation's suppliers to collect information about the presence and sourcing of 3TG used in the products and components supplied to Exterran Corporation. The program utilized the Conflict-Free Sourcing Initiative's Conflict Minerals Reporting Template (CMRT). Only CMRT's version 5.0 or higher were accepted. Suppliers were offered two options to submit the required information, either by uploading the CMRT in MS Excel format or by completing an online survey version of this template directly in the Source Intelligence platform. In certain cases if a supplier was unable to complete on the platform, Exterran Corporation or Source Intelligence uploaded the CMRT on their behalf.

### Supplier Engagement

Exterran Corporation provided Source Intelligence with at least one method of contact for each Tier 1 supplier designated as in-scope (email address, telephone number, facsimile number, or mailing address). Email was the preferred method of communication.

The RCOI began with an introduction email from Exterran Corporation to suppliers describing the Conflict Minerals Compliance Program (CMCP) requirements and identifying Source Intelligence as a partner in the process. Following that introduction email, Source Intelligence sent a subsequent email to suppliers containing a registration and survey request link for the on-line data collection platform.

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<sup>(3)</sup> Conflict minerals are considered "outside the supply chain" if they were smelted or refined or were outside of a covered country prior to January 31, 2013.

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Subsequent engagement followed these steps:

- Following the initial introductions to the program and information request, up to 6 reminder emails were sent to each non-responsive supplier requesting survey completion.
- Suppliers who remained non-responsive to these email reminders were contacted by telephone and offered assistance. This assistance included, but was not limited to, further information about the Conflict Minerals Compliance Program, an explanation of why the information was being collected, a review of how the information would be used and clarification regarding how the information needed could be provided.

#### New Information Cut-off

In recognition that the information requested can take time to collect and aggregate, suppliers were given a final deadline of April 22, 2022 to provide information about the metal processors present in their supply chains for the 2021 reporting year.

#### Information Requested

Suppliers were asked to provide information regarding the sourcing of their materials with the ultimate goal of identifying the 3TG smelters or refiners (“SORs”) and associated mine countries of origin. Suppliers who had already performed a RCOI through the use of the CMRT were asked to upload this document into the Source Intelligence system or to provide this information in the online survey version.

Exterran Corporation chose to give their suppliers the ability to share information at a level with which they were most comfortable, i.e. company, product or user-defined, but the declaration scope had to be specified.

Suppliers were requested to provide an electronic signature before submitting their data to Exterran Corporation to verify that all answers submitted were accurate to the best of the supplier’s knowledge but the suppliers were not required to provide an electronic signature to submit their data.

#### Quality Assurance

Supplier responses were evaluated for plausibility, consistency, and gaps. If any of the following “quality control” flags were raised, suppliers were automatically contacted by the Source Intelligence platform on a bi-weekly basis up to 3 contacts.

- One or more smelter or refiners (SORs) were listed for an unused metal;
- SOR information was not provided for a used metal, or SOR information provided was not a verified metal processor <sup>(4)</sup> ;
- Supplier answered yes to sourcing from the Democratic Republic of the Congo or adjoining countries (“DRC”), but none of the SORs listed are known to source from the region;
- Supplier indicated that they have not received conflict minerals data for each metal from all relevant suppliers;
- Supplier indicated they have not identified all of the SORs used for the products included in the declaration scope;
- Supplier indicated they have not provided all applicable SOR information received; and
- Supplier indicated 100% of the 3TG for products covered by the declaration originates from scrap/recycled sources, but one or more SORs listed are not known to be exclusive recyclers.

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<sup>(4)</sup> Source Intelligence maintains a smelter/refiner database to document which companies are known metal processors (i.e., verified), which companies are exclusive recyclers, mine country of origin information, and DRC conflict-free certification status. Source Intelligence collects SOR data submitted by suppliers via CMRTs and compares it against its existing database. Supplier responses listing entities that are not verified smelters/refiners are flagged and suppliers are asked for further clarification.

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## RCOI Results

A total of 412 Tier 1 suppliers were identified as in-scope for conflict mineral regulatory purposes and contacted as part of the RCOI process conducted by Source Intelligence. The response rate among these suppliers was 33%. Of these responding suppliers, 34% indicated one or more of the regulated metals (3TG) as necessary to the functionality or production of the products they supply to Exterran Corporation. Source Intelligence provided Exterran Corporation a RY 2021 CMCP Data Summary with detailed information regarding the smelters/refiners reported to be in Exterran Corporation's supply chain and the associated mine countries of origin. Based on Source Intelligence's smelter/refiner database, and as shown in the CMCP Data Summary, there was an indication of DRC sourcing or location in the DRC for 53 out of 341 verified smelters/refiners <sup>(5)</sup>.

### Source Intelligence Due Diligence Process

For those supply chains with SORs that are known or thought to be sourcing from the DRC, additional investigation is needed to determine the source and chain-of-custody of the regulated metals. Source Intelligence relies on the following internationally accepted audit standards to determine which SORs are considered "DRC Conflict Free": the Responsible Minerals Assurance Process (RMAP), the London Bullion Market Association Good Delivery Program and the Responsible Jewellery Council Chain-of-Custody Certification. Source Intelligence has become an official vendor member of the Responsible Minerals Initiative (RMI) to further facilitate the exchange of supply chain data and technical information in the quest for global ethical sourcing of materials. This membership provides Source Intelligence access to the following working groups: Engage with the CMRT Development Team, Smelter Engagement Team, Smelter Data Management Team, RMI Stakeholders Call, and RMI Plenary.

If the SOR is not certified by these internationally-recognized schemes, Source Intelligence attempts to contact the SOR to gain more information about their sourcing practices, including countries of origin and transfer, and whether there are any internal due diligence procedures in place or other processes the SORs takes to track the chain-of-custody on the source of its mineral ores. Relevant information to review includes: whether the SOR has a documented, effective and communicated conflict-free policy, an accounting system to support a mass balance of materials processed, and traceability documentation. Internet research is also performed to determine whether there are any outside sources of information regarding the SOR's sourcing practices. Up to three contact attempts are made by Source Intelligence to SORs to gather information on mine country of origin and sourcing practices.

A list of Exterran Corporation's SORs with indications of RMI Country Risk Levels along with certification statuses was provided in the Source Intelligence RY 2021 CMCP Data Summary.

### Measures to Address Risks within the Supply Chain

In preparation for the due diligence performed for the reporting period, the Company worked with Source Intelligence to improve the processes implemented last year. The Company intends to continue its due diligence efforts on the source and supply chain of its conflict minerals and to mitigate the risk that its conflict minerals benefit armed groups in the covered countries, including by working with Source Intelligence to continue providing assistance and education for conflict minerals programs for our suppliers so that the compliance requirements can flow to more levels of our supply chain.

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<sup>(5)</sup> The information in the smelter/refiner database begins with supplier-provided information (CMRT data); Source Intelligence then performs additional research (internet, industry and government associations) and outreach (email and telephone) directly with these companies to confirm the data provided via CMRTs. The Source Intelligence Smelter Verification and Outreach Process includes research in an attempt to verify types of metal processing performed (including exclusive recycling), mine countries of origin, conflict-free certification status, and due diligence measures being conducted for those entities who are not conflict-free certified.

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## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

### EXTERRAN CORPORATION

By: /s/ DAVID A. BARTA

David A. Barta

Senior Vice President and Chief Financial Officer

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**TABLE 1**  
**Smelter/Refiner Disclosure**

Set forth below are the known SORs that processed the 3TG materials present in our manufactured products and the possible countries of origin of such 3TG materials. The Company's efforts to determine the mine or location of origin of these 3TGs are described above in this Conflict Minerals Report.

<b>Metal</b>	<b>Official Smelter Name</b>	<b>RMI Smelter ID</b>	<b>Smelter Country</b>	<b>Conflict-Free Certifications</b>
Gold	8853 S.p.A.	CID002763	Italy	RMAP
Gold	Abington Reldan Metals, LLC	CID002708	United States	
Gold	Advanced Chemical Company	CID000015	United States	RMAP
Gold	African Gold Refinery	CID003185	Uganda	
Gold	Aida Chemical Industries Co., Ltd.	CID000019	Japan	RMAP
Gold	Al Etihad Gold Refinery DMCC	CID002560	United Arab Emirates	RMAP
Gold	Alexy Metals	CID003500	United States	RMAP - Active
Gold	Allgemeine Gold-und Silberscheideanstalt A.G.	CID000035	Germany	LBMA, RJC, RMAP
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	CID000041	Uzbekistan	LBMA, RMAP
Gold	AngloGold Ashanti Corrego do Sitio Mineracao	CID000058	Brazil	LBMA, RMAP
Gold	Argor-Heraeus S.A.	CID000077	Switzerland	LBMA, RMAP
Gold	Asahi Pretec Corp.	CID000082	Japan	LBMA, RMAP
Gold	Asahi Refining Canada Ltd.	CID000924	Canada	LBMA, RMAP
Gold	Asahi Refining USA Inc.	CID000920	United States	LBMA, RMAP
Gold	Asaka Riken Co., Ltd.	CID000090	Japan	RMAP
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	CID000103	Turkey	
Gold	AU Traders and Refiners	CID002850	South Africa	
Gold	Augmont Enterprises Private Limited	CID003461	India	RMAP - Active
Gold	Aurubis AG	CID000113	Germany	LBMA, RMAP
Gold	Bangalore Refinery	CID002863	India	RMAP
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	CID000128	Philippines	LBMA, RMAP
Gold	Boliden AB	CID000157	Sweden	LBMA, RMAP
Gold	C. Hafner GmbH + Co. KG	CID000176	Germany	LBMA, RJC, RMAP
Gold	C.I Metales Procesados Industriales SAS	CID003421	Colombia	RMAP - Active
Gold	Caridad	CID000180	Mexico	
Gold	CCR Refinery - Glencore Canada Corporation	CID000185	Canada	LBMA, RMAP
Gold	Cendres + Metaux S.A.	CID000189	Switzerland	RMAP
Gold	CGR Metalloys Pvt Ltd.	CID003382	India	
Gold	Chimet S.p.A.	CID000233	Italy	LBMA, RMAP
Gold	Chugai Mining	CID000264	Japan	RMAP
Gold	Daye Non-Ferrous Metals Mining Ltd.	CID000343	China	LBMA
Gold	Degussa Sonne / Mond Goldhandel GmbH	CID002867	Germany	
Gold	Dijllah Gold Refinery FZC	CID003348	United Arab Emirates	
Gold	DODUCO Contacts and Refining GmbH	CID000362	Germany	RMAP
Gold	Dowa	CID000401	Japan	RMAP
Gold	DSC (Do Sung Corporation)	CID000359	Korea, Republic of	RMAP
Gold	Eco-System Recycling Co., Ltd. East Plant	CID000425	Japan	RMAP
Gold	Eco-System Recycling Co., Ltd. North Plant	CID003424	Japan	RMAP
Gold	Eco-System Recycling Co., Ltd. West Plant	CID003425	Japan	RMAP
Gold	Emerald Jewel Industry India Limited (Unit 1)	CID003487	India	
Gold	Emerald Jewel Industry India Limited (Unit 2)	CID003488	India	
Gold	Emerald Jewel Industry India Limited (Unit 3)	CID003489	India	

Metal	Official Smelter Name	RMI Smelter ID	Smelter Country	Conflict-Free Certifications
Gold	Emerald Jewel Industry India Limited (Unit 4)	CID003490	India	
Gold	Emirates Gold DMCC	CID002561	United Arab Emirates	RMAP
Gold	Fidelity Printers and Refiners Ltd.	CID002515	Zimbabwe	
Gold	Fujairah Gold FZC	CID002584	United Arab Emirates	
Gold	GCC Gujrat Gold Centre Pvt. Ltd.	CID002852	India	RMAP - Active
Gold	Geib Refining Corporation	CID002459	United States	RMAP
Gold	Gold Coast Refinery	CID003186	Ghana	
Gold	Gold Refinery of Zijin Mining Group Co., Ltd.	CID002243	China	LBMA, RMAP
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	CID001909	China	LBMA
Gold	Guangdong Jinding Gold Limited	CID002312	China	
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.	CID000651	China	
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	CID000671	China	
Gold	Heimerle + Meule GmbH	CID000694	Germany	LBMA, RMAP
Gold	Heraeus Metals Hong Kong Ltd.	CID000707	China	LBMA, RJC, RMAP
Gold	Heraeus Precious Metals GmbH & Co. KG	CID000711	Germany	RMAP
Gold	Hunan Chenzhou Mining Co., Ltd.	CID000767	China	
Gold	Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd.	CID000773	China	
Gold	HwaSeong CJ CO., LTD.	CID000778	Korea, Republic of	
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	CID000801	China	LBMA, RMAP
Gold	International Precious Metal Refiners	CID002562	United Arab Emirates	
Gold	Ishifuku Metal Industry Co., Ltd.	CID000807	Japan	LBMA, RMAP
Gold	Istanbul Gold Refinery	CID000814	Turkey	LBMA, RMAP
Gold	Italpreziosi	CID002765	Italy	RJC, RMAP
Gold	JALAN & Company	CID002893	India	
Gold	Japan Mint	CID000823	Japan	LBMA, RMAP
Gold	Jiangxi Copper Co., Ltd.	CID000855	China	LBMA, RMAP
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	CID000927	Russian Federation	
Gold	JSC Uralelectromed	CID000929	Russian Federation	
Gold	JX Nippon Mining & Metals Co., Ltd.	CID000937	Japan	LBMA, RMAP
Gold	K.A. Rasmussen	CID003497	Norway	
Gold	Kaloti Precious Metals	CID002563	United Arab Emirates	
Gold	Kazakhmys Smelting LLC	CID000956	Kazakhstan	
Gold	Kazzinc	CID000957	Kazakhstan	LBMA, RMAP
Gold	Kennecott Utah Copper LLC	CID000969	United States	LBMA, RMAP
Gold	KGHM Polska Miedz Spolka Akcyjna	CID002511	Poland	RMAP
Gold	Kojima Chemicals Co., Ltd.	CID000981	Japan	RMAP
Gold	Korea Zinc Co., Ltd.	CID002605	Korea, Republic of	RMAP
Gold	Kundan Care Products Ltd.	CID003463	India	
Gold	Kyrgyzaltyn JSC	CID001029	Kyrgyzstan	
Gold	Kyshtym Copper-Electrolytic Plant ZAO	CID002865	Russian Federation	
Gold	L'azurde Company For Jewelry	CID001032	Saudi Arabia	
Gold	L'Orfebre S.A.	CID002762	Andorra	RMAP
Gold	Lingbao Gold Co., Ltd.	CID001056	China	
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.	CID001058	China	
Gold	LS-NIKKO Copper Inc.	CID001078	Korea, Republic of	LBMA, RMAP
Gold	LT Metal Ltd.	CID000689	Korea, Republic of	RMAP

Metal	Official Smelter Name	RMI Smelter ID	Smelter Country	Conflict-Free Certifications
Gold	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.	CID001093	China	
Gold	Marsam Metals	CID002606	Brazil	RMAP
Gold	Materion	CID001113	United States	RMAP
Gold	Matsuda Sangyo Co., Ltd.	CID001119	Japan	LBMA, RMAP
Gold	MD Overseas	CID003548	India	
Gold	Metal Concentrators SA (Pty) Ltd.	CID003575	South Africa	RMAP
Gold	Metallix Refining Inc.	CID003557	United States	
Gold	Metalor Technologies (Hong Kong) Ltd.	CID001149	China	LBMA, RJC, RMAP
Gold	Metalor Technologies (Singapore) Pte., Ltd.	CID001152	Singapore	LBMA, RJC, RMAP
Gold	Metalor Technologies (Suzhou) Ltd.	CID001147	China	RJC, RMAP
Gold	Metalor Technologies S.A.	CID001153	Switzerland	LBMA, RJC, RMAP
Gold	Metalor USA Refining Corporation	CID001157	United States	LBMA, RJC, RMAP
Gold	Metalurgica Met-Mex Penoles S.A. De C.V.	CID001161	Mexico	LBMA, RMAP
Gold	Mitsubishi Materials Corporation	CID001188	Japan	LBMA, RMAP
Gold	Mitsui Mining and Smelting Co., Ltd.	CID001193	Japan	LBMA, RMAP
Gold	MMTC-PAMP India Pvt., Ltd.	CID002509	India	LBMA, RMAP
Gold	Modeltech Sdn Bhd	CID002857	Malaysia	
Gold	Morris and Watson	CID002282	New Zealand	
Gold	Moscow Special Alloys Processing Plant	CID001204	Russian Federation	
Gold	Nadir Metal Rafineri San. Ve Tic. A.S.	CID001220	Turkey	LBMA, RMAP
Gold	Navoi Mining and Metallurgical Combinat	CID001236	Uzbekistan	RMAP
Gold	NH Recytech Company	CID003189	Korea, Republic of	RMAP
Gold	Nihon Material Co., Ltd.	CID001259	Japan	LBMA, RMAP
Gold	Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH	CID002779	Austria	RJC, RMAP
Gold	Ohura Precious Metal Industry Co., Ltd.	CID001325	Japan	RMAP
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	CID001326	Russian Federation	
Gold	OJSC Novosibirsk Refinery	CID000493	Russian Federation	
Gold	PAMP S.A.	CID001352	Switzerland	LBMA, RMAP
Gold	Pease & Curren	CID002872	United States	
Gold	Penglai Penggang Gold Industry Co., Ltd.	CID001362	China	
Gold	Planta Recuperadora de Metales SpA	CID002919	Chile	RMAP
Gold	Prioksky Plant of Non-Ferrous Metals	CID001386	Russian Federation	
Gold	PT Aneka Tambang (Persero) Tbk	CID001397	Indonesia	LBMA, RMAP
Gold	PX Precinox S.A.	CID001498	Switzerland	LBMA, RMAP
Gold	QG Refining, LLC	CID003324	United States	
Gold	Rand Refinery (Pty) Ltd.	CID001512	South Africa	LBMA, RMAP
Gold	Refinery of Seemine Gold Co., Ltd.	CID000522	China	
Gold	REMONDIS PMR B.V.	CID002582	Netherlands	RMAP
Gold	Royal Canadian Mint	CID001534	Canada	LBMA, RMAP
Gold	SAAMP	CID002761	France	RJC, RMAP
Gold	Sabin Metal Corp.	CID001546	United States	
Gold	Safimet S.p.A	CID002973	Italy	RMAP
Gold	SAFINA A.S.	CID002290	Czech Republic	RMAP
Gold	Sai Refinery	CID002853	India	
Gold	Samduck Precious Metals	CID001555	Korea, Republic of	RMAP
Gold	SAMWON METALS Corp.	CID001562	Korea, Republic of	

Metal	Official Smelter Name	RMI Smelter ID	Smelter Country	Conflict-Free Certifications
Gold	Sancus ZFS (L'Orfebre, SA)	CID003529	Colombia	RMAP - Active
Gold	SAXONIA Edelmetalle GmbH	CID002777	Germany	RMAP - Active
Gold	Sellem Industries Ltd.	CID003540	Mauritania	
Gold	SEMPSA Joyeria Plateria S.A.	CID001585	Spain	LBMA, RMAP
Gold	Shandong Humon Smelting Co., Ltd.	CID002525	China	
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.	CID001619	China	
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	CID001622	China	LBMA, RMAP
Gold	Shenzhen Zhonghenglong Real Industry Co., Ltd.	CID002527	China	
Gold	Shirpur Gold Refinery Ltd.	CID002588	India	
Gold	Sichuan Tianze Precious Metals Co., Ltd.	CID001736	China	LBMA, RMAP
Gold	Singway Technology Co., Ltd.	CID002516	Taiwan	RMAP
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	CID001756	Russian Federation	
Gold	Solar Applied Materials Technology Corp.	CID001761	Taiwan	LBMA, RMAP
Gold	Sovereign Metals	CID003383	India	
Gold	State Research Institute Center for Physical Sciences and Technology	CID003153	Lithuania	
Gold	Sudan Gold Refinery	CID002567	Sudan	
Gold	Sumitomo Metal Mining Co., Ltd.	CID001798	Japan	LBMA, RMAP
Gold	SungEel HiMetal Co., Ltd.	CID002918	Korea, Republic of	RMAP
Gold	Super Dragon Technology Co., Ltd.	CID001810	Taiwan	
Gold	T.C.A S.p.A	CID002580	Italy	LBMA, RMAP
Gold	Tanaka Kikinzoku Kogyo K.K.	CID001875	Japan	LBMA, RMAP
Gold	The Refinery of Shandong Gold Mining Co., Ltd.	CID001916	China	LBMA, RMAP
Gold	Tokuriki Honten Co., Ltd.	CID001938	Japan	LBMA, RMAP
Gold	Tongling Nonferrous Metals Group Co., Ltd.	CID001947	China	
Gold	Tony Goetz NV	CID002587	Belgium	
Gold	TOO Tau-Ken-Altyn	CID002615	Kazakhstan	RMAP
Gold	Torecom	CID001955	Korea, Republic of	RMAP
Gold	Umicore Precious Metals Thailand	CID002314	Thailand	RJC, RMAP
Gold	Umicore S.A. Business Unit Precious Metals Refining	CID001980	Belgium	LBMA, RMAP
Gold	United Precious Metal Refining, Inc.	CID001993	United States	RMAP
Gold	Valcambi S.A.	CID002003	Switzerland	LBMA, RJC, RMAP
Gold	Value Trading	CID003617	Belgium	
Gold	WEEEREFINING	CID003615	France	RMAP - Active
Gold	Western Australian Mint (T/a The Perth Mint)	CID002030	Australia	LBMA, RMAP
Gold	WIELAND Edelmetalle GmbH	CID002778	Germany	RMAP
Gold	Yamakin Co., Ltd.	CID002100	Japan	RMAP
Gold	Yokohama Metal Co., Ltd.	CID002129	Japan	RMAP
Gold	Yunnan Copper Industry Co., Ltd.	CID000197	China	
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	CID002224	China	LBMA, RMAP
Tantalum	AMG Brasil	CID001076	Brazil	RMAP
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CID000211	China	RMAP
Tantalum	D Block Metals, LLC	CID002504	United States	RMAP
Tantalum	Exotech Inc.	CID000456	United States	RMAP - Active
Tantalum	F&X Electro-Materials Ltd.	CID000460	China	RMAP
Tantalum	FIR Metals & Resource Ltd.	CID002505	China	RMAP
Tantalum	Global Advanced Metals Aizu	CID002558	Japan	RMAP

Metal	Official Smelter Name	RMI Smelter ID	Smelter Country	Conflict-Free Certifications
Tantalum	Global Advanced Metals Boyertown	CID002557	United States	RMAP
Tantalum	Guangdong Rising Rare Metals-EO Materials Ltd.	CID000291	China	RMAP
Tantalum	Guangdong Zhiyuan New Material Co., Ltd.	CID000616	China	RMAP
Tantalum	H.C. Starck Hermsdorf GmbH	CID002547	Germany	RMAP
Tantalum	H.C. Starck Inc.	CID002548	United States	RMAP
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	CID002492	China	RMAP
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.	CID002512	China	RMAP
Tantalum	Jiangxi Tuohong New Raw Material	CID002842	China	RMAP
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	CID000914	China	RMAP
Tantalum	Jiujiang Tanbre Co., Ltd.	CID000917	China	RMAP
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	CID002506	China	RMAP
Tantalum	KEMET Blue Metals	CID002539	Mexico	RMAP
Tantalum	Metallurgical Products India Pvt., Ltd.	CID001163	India	RMAP
Tantalum	Mineracao Taboca S.A.	CID001175	Brazil	RMAP
Tantalum	Mitsui Mining and Smelting Co., Ltd.	CID001192	Japan	RMAP
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	CID001277	China	RMAP
Tantalum	NPM Silmet AS	CID001200	Estonia	RMAP
Tantalum	QuantumClean	CID001508	United States	RMAP
Tantalum	Resind Industria e Comercio Ltda.	CID002707	Brazil	RMAP
Tantalum	RFH Yancheng JinYE New Material Technology Co., Ltd.	CID003583	China	RMAP
Tantalum	Solikamsk Magnesium Works OAO	CID001769	Russian Federation	RMAP
Tantalum	Taki Chemical Co., Ltd.	CID001869	Japan	RMAP
Tantalum	TANIOBIS Co., Ltd.	CID002544	Thailand	RMAP
Tantalum	TANIOBIS GmbH	CID002545	Germany	RMAP
Tantalum	TANIOBIS Japan Co., Ltd.	CID002549	Japan	RMAP
Tantalum	TANIOBIS Smelting GmbH & Co. KG	CID002550	Germany	RMAP
Tantalum	Telex Metals	CID001891	United States	RMAP
Tantalum	Ulba Metallurgical Plant JSC	CID001969	Kazakhstan	RMAP
Tantalum	XinXing Haorong Electronic Material Co., Ltd.	CID002508	China	RMAP
Tantalum	Yanling Jincheng Tantalum & Niobium Co., Ltd.	CID001522	China	RMAP
Tin	Alpha	CID000292	United States	RMAP
Tin	An Vinh Joint Stock Mineral Processing Company	CID002703	Viet Nam	
Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	CID000228	China	RMAP
Tin	Chifeng Dajingzi Tin Industry Co., Ltd.	CID003190	China	RMAP
Tin	China Tin Group Co., Ltd.	CID001070	China	RMAP
Tin	CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda	CID003486	Brazil	RMAP - Active
Tin	CRM Synergies	CID003524	Spain	RMAP
Tin	CV Venus Inti Perkasa	CID002455	Indonesia	RMAP - Active
Tin	Dongguan CiEXPO Environmental Engineering Co., Ltd.	CID003356	China	
Tin	Dowa	CID000402	Japan	RMAP
Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company	CID002572	Viet Nam	
Tin	EM Vinto	CID000438	Bolivia	RMAP
Tin	Estanho de Rondonia S.A.	CID000448	Brazil	RMAP
Tin	Fabrica Auricchio Industria e Comercio Ltda.	CID003582	Brazil	RMAP
Tin	Fenix Metals	CID000468	Poland	RMAP
Tin	Gejiu City Fuxiang Industry and Trade Co., Ltd.	CID003410	China	

Metal	Official Smelter Name	RMI Smelter ID	Smelter Country	Conflict-Free Certifications
Tin	Gejiu Kai Meng Industry and Trade LLC	CID000942	China	
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CID000538	China	RMAP
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	CID001908	China	RMAP
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	CID000555	China	RMAP
Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	CID003116	China	RMAP
Tin	HuiChang Hill Tin Industry Co., Ltd.	CID002844	China	RMAP
Tin	Jiangxi New Nanshan Technology Ltd.	CID001231	China	RMAP
Tin	Luna Smelter, Ltd.	CID003387	Rwanda	RMAP
Tin	Ma'anshan Weitai Tin Co., Ltd.	CID003379	China	RMAP
Tin	Magnu's Minerais Metais e Ligas Ltda.	CID002468	Brazil	RMAP
Tin	Malaysia Smelting Corporation (MSC)	CID001105	Malaysia	RMAP
Tin	Melt Metais e Ligas S.A.	CID002500	Brazil	RMAP - Active
Tin	Metallic Resources, Inc.	CID001142	United States	RMAP
Tin	Metallo Belgium N.V.	CID002773	Belgium	RMAP
Tin	Metallo Spain S.L.U.	CID002774	Spain	RMAP
Tin	Mineracao Taboca S.A.	CID001173	Brazil	RMAP
Tin	Minsur	CID001182	Peru	RMAP
Tin	Mitsubishi Materials Corporation	CID001191	Japan	RMAP
Tin	Modeltech Sdn Bhd	CID002858	Malaysia	
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	CID002573	Viet Nam	
Tin	Novosibirsk Processing Plant Ltd.	CID001305	Russian Federation	RMAP
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	CID001314	Thailand	RMAP
Tin	O.M. Manufacturing Philippines, Inc.	CID002517	Philippines	RMAP
Tin	Operaciones Metalurgicas S.A.	CID001337	Bolivia	RMAP
Tin	Pongpipat Company Limited	CID003208	Myanmar	
Tin	Precious Minerals and Smelting Limited	CID003409	India	
Tin	PT Aries Kencana Sejahtera	CID000309	Indonesia	
Tin	PT Artha Cipta Langgeng	CID001399	Indonesia	RMAP
Tin	PT ATD Makmur Mandiri Jaya	CID002503	Indonesia	RMAP
Tin	PT Babel Inti Perkasa	CID001402	Indonesia	RMAP
Tin	PT Babel Surya Alam Lestari	CID001406	Indonesia	RMAP
Tin	PT Bangka Serumpun	CID003205	Indonesia	RMAP
Tin	PT Belitung Industri Sejahtera	CID001421	Indonesia	
Tin	PT Bukit Timah	CID001428	Indonesia	RMAP - Active
Tin	PT Cipta Persada Mulia	CID002696	Indonesia	RMAP
Tin	PT Masbro Alam Stania	CID003380	Indonesia	RMAP - Active
Tin	PT Menara Cipta Mulia	CID002835	Indonesia	RMAP
Tin	PT Mitra Stania Prima	CID001453	Indonesia	RMAP
Tin	PT Mitra Sukses Globalindo	CID003449	Indonesia	RMAP - Active
Tin	PT Panca Mega Persada	CID001457	Indonesia	
Tin	PT Prima Timah Utama	CID001458	Indonesia	RMAP
Tin	PT Rajawali Rimba Perkasa	CID003381	Indonesia	RMAP
Tin	PT Refined Bangka Tin	CID001460	Indonesia	RMAP
Tin	PT Sariwiguna Binasentosa	CID001463	Indonesia	RMAP
Tin	PT Stanindo Inti Perkasa	CID001468	Indonesia	RMAP
Tin	PT Sukses Inti Makmur	CID002816	Indonesia	RMAP - Active



Metal	Official Smelter Name	RMI Smelter ID	Smelter Country	Conflict-Free Certifications
Tin	PT Timah Nusantara	CID001486	Indonesia	RMAP - Active
Tin	PT Timah Tbk Kundur	CID001477	Indonesia	RMAP
Tin	PT Timah Tbk Mentok	CID001482	Indonesia	RMAP
Tin	PT Tinindo Inter Nusa	CID001490	Indonesia	RMAP
Tin	PT Tirus Putra Mandiri	CID002478	Indonesia	
Tin	PT Tommy Utama	CID001493	Indonesia	
Tin	Resind Industria e Comercio Ltda.	CID002706	Brazil	RMAP
Tin	Rui Da Hung	CID001539	Taiwan	RMAP
Tin	Soft Metais Ltda.	CID001758	Brazil	RMAP
Tin	Super Ligas	CID002756	Brazil	RMAP - Active
Tin	Thai Nguyen Mining and Metallurgy Co., Ltd.	CID002834	Viet Nam	RMAP
Tin	Thaisarco	CID001898	Thailand	RMAP
Tin	Tin Technology & Refining	CID003325	United States	RMAP
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	CID002574	Viet Nam	
Tin	VQB Mineral and Trading Group JSC	CID002015	Viet Nam	
Tin	White Solder Metalurgia e Mineracao Ltda.	CID002036	Brazil	RMAP
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	CID002158	China	RMAP
Tin	Yunnan Tin Company Limited	CID002180	China	RMAP
Tin	Yunnan Yunfan Non-ferrous Metals Co., Ltd.	CID003397	China	
Tungsten	A.L.M.T. TUNGSTEN Corp.	CID000004	Japan	RMAP
Tungsten	ACL Metais Eireli	CID002833	Brazil	RMAP
Tungsten	Albasteel Industria e Comercio de Ligas Para Fundicao Ltd.	CID003427	Brazil	RMAP - Active
Tungsten	Artek LLC	CID003553	Russian Federation	
Tungsten	Asia Tungsten Products Vietnam Ltd.	CID002502	Viet Nam	RMAP
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.	CID002513	China	RMAP
Tungsten	China Molybdenum Co., Ltd.	CID002641	China	RMAP
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CID000258	China	RMAP
Tungsten	CNMC (Guangxi) PGMA Co., Ltd.	CID000281	China	
Tungsten	Cronimet Brasil Ltda	CID003468	Brazil	RMAP
Tungsten	Fujian Ganmin RareMetal Co., Ltd.	CID003401	China	RMAP
Tungsten	Fujian Xinlu Tungsten	CID003609	China	RMAP
Tungsten	Ganzhou Haichuang Tungsten Co., Ltd.	CID002645	China	RMAP
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	CID000875	China	RMAP
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CID002315	China	RMAP
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	CID002494	China	RMAP
Tungsten	Global Tungsten & Powders Corp.	CID000568	United States	RMAP
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	CID000218	China	RMAP
Tungsten	H.C. Starck Tungsten GmbH	CID002541	Germany	RMAP
Tungsten	Hunan Chenzhou Mining Co., Ltd.	CID000766	China	RMAP
Tungsten	Hunan Chunchang Nonferrous Metals Co., Ltd.	CID000769	China	RMAP
Tungsten	Hydrometallurg, JSC	CID002649	Russian Federation	RMAP
Tungsten	Japan New Metals Co., Ltd.	CID000825	Japan	RMAP
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	CID002551	China	RMAP
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	CID002321	China	RMAP
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	CID002313	China	
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	CID002318	China	RMAP



Metal	Official Smelter Name	RMI Smelter ID	Smelter Country	Conflict-Free Certifications
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CID002317	China	RMAP
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	CID002316	China	RMAP
Tungsten	Jingmen Dewei GEM Tungsten Resources Recycling Co., Ltd.	CID003417	China	RMAP
Tungsten	JSC "Kirovgrad Hard Alloys Plant"	CID003408	Russian Federation	RMAP
Tungsten	Kennametal Fallon	CID000966	United States	RMAP
Tungsten	Kennametal Huntsville	CID000105	United States	RMAP
Tungsten	KGETS CO., LTD.	CID003388	Korea, Republic of	RMAP
Tungsten	Lianyou Metals Co., Ltd.	CID003407	Taiwan	RMAP
Tungsten	LLC Vostok	CID003643	Russian Federation	RMAP - Active
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	CID002319	China	RMAP
Tungsten	Masan Tungsten Chemical LLC (MTC)	CID002543	Viet Nam	RMAP
Tungsten	Moliren Ltd.	CID002845	Russian Federation	RMAP
Tungsten	Niagara Refining LLC	CID002589	United States	RMAP
Tungsten	NPP Tyazhmetprom LLC	CID003416	Russian Federation	RMAP - Active
Tungsten	OOO “Technolom” 1	CID003614	Russian Federation	RMAP - Active
Tungsten	OOO “Technolom” 2	CID003612	Russian Federation	RMAP - Active
Tungsten	Philippine Chuangxin Industrial Co., Inc.	CID002827	Philippines	RMAP
Tungsten	TANIOBIS Smelting GmbH & Co. KG	CID002542	Germany	RMAP
Tungsten	Unecha Refractory Metals Plant	CID002724	Russian Federation	RMAP
Tungsten	Wolfram Bergbau und Hutten AG	CID002044	Austria	RMAP
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CID002320	China	RMAP
Tungsten	Xiamen Tungsten Co., Ltd.	CID002082	China	RMAP
Tungsten	Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd.	CID002830	China	RMAP

**TABLE 2**  
**Country of Origin**

<b>Metal</b>	<b>Country of Origin</b>
Gold	Argentina
Gold	Armenia
Gold	Australia
Gold	Austria
Gold	Belgium
Gold	Bermuda
Gold	Bolivia
Gold	Brazil
Gold	Burundi
Gold	Cambodia
Gold	Canada
Gold	Chile
Gold	China
Gold	Colombia
Gold	Congo (Brazzaville)
Gold	Czech Republic
Gold	Djibouti
Gold	DRC- Congo (Kinshasa)
Gold	Ecuador
Gold	Egypt
Gold	Estonia
Gold	Ethiopia
Gold	Finland
Gold	France
Gold	Germany
Gold	Ghana
Gold	Guinea
Gold	Guyana
Gold	Hong Kong
Gold	Hungary
Gold	India
Gold	Indonesia
Gold	Ireland
Gold	Israel
Gold	Italy
Gold	Ivory Coast
Gold	Japan
Gold	Jersey
Gold	Kazakhstan
Gold	Korea, Republic of
Gold	Kyrgyzstan
Gold	Laos
Gold	Luxembourg
Gold	Madagascar

<b>Metal</b>	<b>Country of Origin</b>
Gold	Malaysia
Gold	Mali
Gold	Mexico
Gold	Mongolia
Gold	Mozambique
Gold	Myanmar
Gold	Namibia
Gold	Netherlands
Gold	New Zealand
Gold	Niger
Gold	Nigeria
Gold	Papua New Guinea
Gold	Peru
Gold	Philippines
Gold	Poland
Gold	Portugal
Gold	Russian Federation
Gold	Rwanda
Gold	Saudi Arabia
Gold	Sierra Leone
Gold	Singapore
Gold	Slovakia
Gold	South Africa
Gold	Spain
Gold	Suriname
Gold	Sweden
Gold	Switzerland
Gold	Taiwan
Gold	Tajikistan
Gold	Tanzania
Gold	Thailand
Gold	Turkey
Gold	United Arab Emirates
Gold	United Kingdom
Gold	United States
Gold	Uzbekistan
Gold	Viet Nam
Gold	Zambia
Gold	Zimbabwe
Tantalum	Angola
Tantalum	Argentina
Tantalum	Australia
Tantalum	Austria
Tantalum	Belarus

Metal	Country of Origin
Tantalum	Belgium
Tantalum	Bolivia
Tantalum	Brazil
Tantalum	Burundi
Tantalum	Cambodia
Tantalum	Canada
Tantalum	Central African Republic
Tantalum	Chile
Tantalum	China
Tantalum	Colombia
Tantalum	Congo (Brazzaville)
Tantalum	Czech Republic
Tantalum	Djibouti
Tantalum	DRC- Congo (Kinshasa)
Tantalum	Ecuador
Tantalum	Egypt
Tantalum	Estonia
Tantalum	Ethiopia
Tantalum	France
Tantalum	Germany
Tantalum	Ghana
Tantalum	Guinea
Tantalum	Guyana
Tantalum	Hungary
Tantalum	India
Tantalum	Indonesia
Tantalum	Ireland
Tantalum	Israel
Tantalum	Italy
Tantalum	Ivory Coast
Tantalum	Japan
Tantalum	Jersey
Tantalum	Kazakhstan
Tantalum	Kenya
Tantalum	Korea, Republic of
Tantalum	Laos
Tantalum	Luxembourg
Tantalum	Madagascar
Tantalum	Malaysia
Tantalum	Mali
Tantalum	Mexico
Tantalum	Mongolia
Tantalum	Mozambique
Tantalum	Myanmar
Tantalum	Namibia

Metal	Country of Origin
Tantalum	Netherlands
Tantalum	Niger
Tantalum	Nigeria
Tantalum	Peru
Tantalum	Philippines
Tantalum	Poland
Tantalum	Portugal
Tantalum	Russian Federation
Tantalum	Rwanda
Tantalum	Sierra Leone
Tantalum	Singapore
Tantalum	Slovakia
Tantalum	South Africa
Tantalum	South Sudan
Tantalum	Spain
Tantalum	Suriname
Tantalum	Switzerland
Tantalum	Taiwan
Tantalum	Tanzania
Tantalum	Thailand
Tantalum	Uganda
Tantalum	United Kingdom
Tantalum	United States
Tantalum	Viet Nam
Tantalum	Zambia
Tantalum	Zimbabwe
Tin	Angola
Tin	Argentina
Tin	Armenia
Tin	Australia
Tin	Austria
Tin	Belgium
Tin	Bolivia
Tin	Brazil
Tin	Burundi
Tin	Cambodia
Tin	Canada
Tin	Central African Republic
Tin	Chile
Tin	China
Tin	Colombia
Tin	Congo (Brazzaville)
Tin	Czech Republic
Tin	Djibouti
Tin	DRC- Congo (Kinshasa)

Metal	Country of Origin
Tin	Ecuador
Tin	Egypt
Tin	Estonia
Tin	Ethiopia
Tin	France
Tin	Germany
Tin	Guyana
Tin	Hong Kong
Tin	Hungary
Tin	India
Tin	Indonesia
Tin	Ireland
Tin	Israel
Tin	Ivory Coast
Tin	Japan
Tin	Jersey
Tin	Kazakhstan
Tin	Kenya
Tin	Korea, Republic of
Tin	Kyrgyzstan
Tin	Laos
Tin	Luxembourg
Tin	Madagascar
Tin	Malaysia
Tin	Mexico
Tin	Mongolia
Tin	Morocco
Tin	Mozambique
Tin	Myanmar
Tin	Namibia
Tin	Netherlands
Tin	Niger
Tin	Nigeria
Tin	Papua New Guinea
Tin	Peru
Tin	Philippines
Tin	Poland
Tin	Portugal
Tin	Russian Federation
Tin	Rwanda
Tin	Sierra Leone
Tin	Singapore
Tin	Slovakia
Tin	South Africa
Tin	South Sudan

Metal	Country of Origin
Tin	Spain
Tin	Suriname
Tin	Sweden
Tin	Switzerland
Tin	Taiwan
Tin	Tanzania
Tin	Thailand
Tin	Turkey
Tin	Uganda
Tin	United Kingdom
Tin	United States
Tin	Uzbekistan
Tin	Viet Nam
Tin	Zambia
Tin	Zimbabwe
Tungsten	Angola
Tungsten	Argentina
Tungsten	Australia
Tungsten	Austria
Tungsten	Belgium
Tungsten	Bolivia
Tungsten	Brazil
Tungsten	Burundi
Tungsten	Cambodia
Tungsten	Canada
Tungsten	Central African Republic
Tungsten	Chile
Tungsten	China
Tungsten	Colombia
Tungsten	Congo (Brazzaville)
Tungsten	Czech Republic
Tungsten	Djibouti
Tungsten	DRC- Congo (Kinshasa)
Tungsten	Ecuador
Tungsten	Egypt
Tungsten	Estonia
Tungsten	Ethiopia
Tungsten	France
Tungsten	Germany
Tungsten	Guinea
Tungsten	Guyana
Tungsten	Hungary
Tungsten	India
Tungsten	Indonesia
Tungsten	Ireland

Metal	Country of Origin
Tungsten	Israel
Tungsten	Ivory Coast
Tungsten	Japan
Tungsten	Kazakhstan
Tungsten	Korea, Republic of
Tungsten	Laos
Tungsten	Luxembourg
Tungsten	Madagascar
Tungsten	Malaysia
Tungsten	Mexico
Tungsten	Mongolia
Tungsten	Mozambique
Tungsten	Myanmar
Tungsten	Namibia
Tungsten	Netherlands
Tungsten	Niger
Tungsten	Nigeria
Tungsten	Papua New Guinea
Tungsten	Peru
Tungsten	Philippines

Metal	Country of Origin
Tungsten	Portugal
Tungsten	Russian Federation
Tungsten	Rwanda
Tungsten	Sierra Leone
Tungsten	Singapore
Tungsten	Slovakia
Tungsten	South Africa
Tungsten	South Sudan
Tungsten	Spain
Tungsten	Suriname
Tungsten	Switzerland
Tungsten	Taiwan
Tungsten	Tanzania
Tungsten	Thailand
Tungsten	Uganda
Tungsten	United Kingdom
Tungsten	United States
Tungsten	Viet Nam
Tungsten	Zambia
Tungsten	Zimbabwe

**Independent Private Sector Audit**

We have determined that a private sector audit is not required under Rule 13p-1 for 2021.

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